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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

| | |
|------------------------|-------------------------------|
| Attorney Docket No. | 298-147 |
| First Inventor | Steinbichler |
| Title | PROCESS AND APPARATUS FOR ... |
| Express Mail Label No. | EV035533274US |

1C971 U.S. PTO
11/28/01
09/996239
11/28/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.
See 37 CFR 1.27.
- Specification [Total Pages 18]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (*if filed*)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
- Oath or Declaration [Total Pages]

 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)

- i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- 6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- 7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- 8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 9. Assignment Papers (cover sheet & document(s))
- 10. 37 CFR 3.73(b) Statement Power of Attorney
(when there is an assignee)
- 11. English Translation Document (*if applicable*)
- 12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
- 13. Preliminary Amendment
- 14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- 15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- 16. Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- 17. Other: Check for \$433

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP)

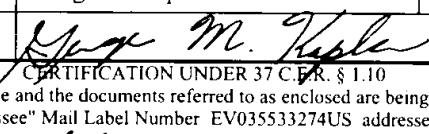
of prior application No.: /

Prior application information: Examiner: _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

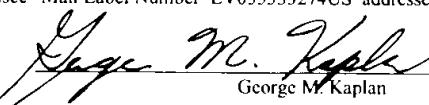
19. CORRESPONDENCE ADDRESS

| | | | |
|--|---|-----------------------------------|---|
| <input type="checkbox"/> Customer Number or Bar Code Label | [REDACTED] | | <input type="checkbox"/> Correspondence address below |
| Name | Rocco S. Barrese, Esq. | | |
| Address | DILWORTH & BARRESE, LLP | | |
| City | Uniondale | State | New York |
| Country | U.S.A. | Telephone | (516) 228-8484 |
| Name (Print/Type) | George M. Kaplan | Registration No. (Attorney/Agent) | 28,375 |
| Signature |  | | Date Nov. 28, 2001 |

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this correspondence and the documents referred to as enclosed are being deposited with the United States Postal Service on date below in an envelope as "Express Mail Post Office to Addressee" Mail Label Number EV035533274US addressed to: Assistant Commissioner for Patents, Box PCT, P.O. Box 2327, Arlington, Va 22202.

Dated: Nov. 28, 2001


George M. Kaplan

11/28/01
J1058 U.S. PTO

11-30-01 A

PATENT

Atty. Docket No. 298-147

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assistant Commissioner for Patents
Washington, D.C. 20231

Jc971 U.S. PRO
09/996239
11/28/01

UTILITY APPLICATION FEE TRANSMITTAL

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Hans Steinbichler, Volker Rasenberger, Rainer Huber and Roman Berger

For: PROCESS AND APPARATUS FOR RECORDING THE DEFORMATION OF OBJECTS

Enclosed are:

13 page(s) of specification

1 page(s) of Abstract

4 page(s) of claims

1 page(s) of Translation of Fig. 2

2 sheets of drawings formal informal (**Figs 1 and 2**)

4 page(s) of Preliminary Amendment and marked-up copy

_____ page(s) of Declaration and Power of Attorney

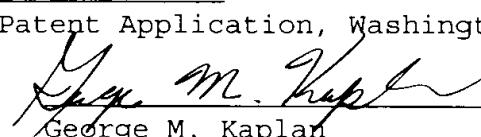
_____ An Assignment of the invention to _____

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this correspondence and the documents referred to as enclosed are being deposited with the United States Postal Service on date below in an envelope as "Express Mail Post Office to Addressee" Mail Label Number EV035533274US addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

November 28, 2001

Date


George M. Kaplan

[] This application claims the benefit under 35 U.S.C.
§119(e) of U.S. Provisional Application(s) No(s) .:

APPLICATION NO(S) .:

FILING DATE

[x] Certified copy of application(s)

| <u>Country</u> | <u>Appln. No.</u> | <u>Filed</u> |
|----------------|-------------------|-------------------|
| Germany | 100 58 887.5 | November 29, 2000 |
| Germany | 101 01 057.5 | January 11, 2001 |

from which priority under Title 35 United States Code, § 119
is claimed

[] is enclosed.

[X] will follow.

CALCULATION OF UTILITY APPLICATION FEE*

| <u>For</u> | <u>Number Filed</u> | <u>Number Extra</u> | <u>Rate</u> | <u>Basic Fee</u> |
|-------------|---------------------|---------------------|-------------|------------------|
| Total | | | | \$ 740.00 |
| Claims | 27 | -20 = 7 | x \$ 18.00 | \$ 126.00 |
| Independent | | | | |
| Claims | 2 | -3 = 0 | x \$ 84.00 | \$ 0.00 |
| Multiple | [] yes | Add'l. Fee | \$280.00 | \$ |
| Dependent | | | | |
| Claims | [x] no | Add'l. Fee | None | = \$ |
| | | | | TOTAL \$ 866.00 |

- [X] Small Entity Status Under 37 C.F.R. § 1.27.
Reduced fees under 37 C.F.R. § 1.9(f) (50% of 866.00
total) paid herewith \$433.00.
[] The amount of \$40.00 for recording the attached Assignment
is included in a separate check.

* Based upon accompanying Preliminary Amendment

** includes all independent and single dependent claims and all claims referred to in
multiple claims. See 37 C.F.R. § 1.75(c).

- A check in the amount of \$ 433.00 to cover the
[] recording, [X] filing fee(s) is attached.
- [] Charge fee to Deposit Account No. 04-1121. Order No.
TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.
- Please charge any deficiency as well as any other fee(s)
which may become due under 37 C.F.R. § 1.16 and 1.17, at any
time during the pendency of this application, or credit any
overpayment of such fee(s) to Deposit Account No. 04-1121.
Also, in the event any extensions of time for responding are
required for the pending application(s), please treat this
paper as a petition to extend the time as required and
charge Deposit Account No. 04-1121 therefor. TWO (2) COPIES
OF THIS SHEET ARE ENCLOSED.

Date: November 28, 2001



George M. Kaplan
Reg. No. 28,375

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